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hp/2867

Docket No.  
405507/0006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: **Toshihisa Saruta**

Group Art Unit: **2861**

Application No.: **09/996,986**

Examiner: **Anh T. N. Vo**

Filed: **November 30, 2001**

For: **PRINTER AND INK CARTRIDGE ATTACHED THERETO**

Date: **March 25, 2004**

**CERTIFICATE OF MAILING**  
**BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Supplemental Information Disclosure Statement (2 pp); Form PTO-1449 (4 pp); 52 References;  
return postcard**

is being deposited on **March 25, 2004** with the United States Postal Service  
as first class mail in an envelope bearing sufficient postage thereon and addressed to:

**Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450.**

**Jeong Eun Lee**

(Typed Or Printed Name Of Person Mailing Correspondence)

(Signature Of Person Mailing Correspondence)



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Serial No.: **09/996,986**

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

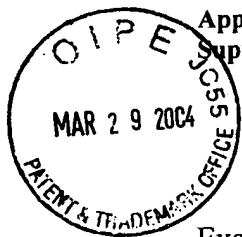
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and pursuant to 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed List of References Cited by Applicant(s) form (PTO-1449). Copies of the listed references are also enclosed. For the Examiner's convenience, English abstracts of the cited Japanese references have been provided.

This Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(b)(3), to the best of the undersigned's knowledge, before the mailing of an Office Action, and so no fee is believed to be due.

Nevertheless, the Commissioner is authorized to charge any fee which may be required for the filing of this Information Disclosure Statement, as well as any other fee which may now or hereafter be due, to Deposit Account No. 19-4709.



Application No. 9/996,986  
Supplemental Information Disclosure Statement  
filed March 25, 2004

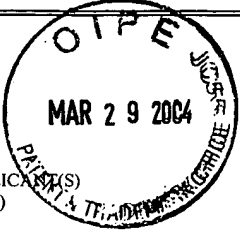
It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the accompanying List of References Cited by Applicant(s) form (PTO-1449) be returned indicating that such information has been considered.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact applicant's attorney at the number listed below.

Respectfully submitted,

By David L. Schaeffer

David L. Schaeffer  
Reg. No. 32,716  
Attorney for Applicant  
Stroock & Stroock & Lavan LLP  
180 Maiden Lane  
New York, New York 10038-4982  
(212) 806-5400

FORM PTO 1449 (modified)				ATTY DOCKET NO. <b>405507/0006</b>		APPLICATION NO. <b>09/996,986</b>	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT <b>Toshihisa Saruta</b>			
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				FILING DATE <b>November 30, 2001</b>		GROUP <b>2861</b>	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,961,088	10/2/1990	Gilliland et al.				
	5,410,641	4/25/1995	Wakabayashi et al.				
	5,506,611	4/9/1996	Ujita et al.				
	5,519,418	5/21/1996	Nishikawa et al.				
	5,699,091	12/16/1997	Bullock et al.				
	5,786,828	7/28/1998	Yamamoto				
	5,812,156	9/22/1998	Bullock et al.				
	5,930,553	7/27/1999	Hirst et al.				

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		
WO90/00974	2/8/1990	WIPO			Eng. Orig.		
EP0443245	8/28/1991	Europe			Eng. Orig.		
JP05-020275	1/29/1993	Japan			w/ Eng. Abst.		
JP05-193127	8/3/1993	Japan			w/ Eng. Abst.		
JP06-126981	5/10/1994	Japan			w/ Eng. Abst.		
WO96/05061	2/22/1996	WIPO			Eng. Orig.		
JP08-310007	11/26/1996	Japan			w/ Eng. Abst.		
EP0854043	7/22/1998	Europe			Eng. Orig.		
EP0854044	7/22/1998	Europe			Eng. Orig.		

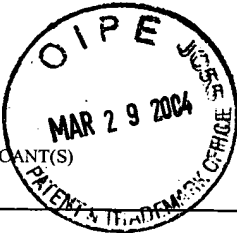
  

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				ATTY DOCKET NO. <b>405507/0006</b>		APPLICATION NO. <b>09/996,986</b>	
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,019,449	2/1/2000	Bullock et al.			
		6,019,461	2/1/2000	Yoshimura et al.			
		6,065,824	5/23/2000	Bullock et al.			
		6,196,670	3/6/2001	Saruta			
		6,312,073	11/6/2001	Inora et al.			
		6,371,586	4/16/2002	Saruta			
		6,447,090	9/10/2002	Saruta			
		6,494,559	12/17/2002	Tsuji			

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		EP0873873	10/28/1998	Europe			Eng. Orig.
		WO98/52762	11/26/1998	WIPO			Eng. Orig.
		EP0891865	1/20/1999	Europe			Eng. Orig.
		EP0940259 A2	9/8/1999	Europe			Eng. Orig.
		WO99/65695	12/23/1999	WIPO			Eng. Orig.
		WO00/26034	5/11/2000	WIPO			Eng. Orig.
		EP1004449 A2	5/31/2000	Europe			Eng. Orig.
		EP1004451 A2	5/31/2000	Europe			Eng. Orig.
		JP2000-301738	10/31/2000	Japan			w/ Eng. Abst.

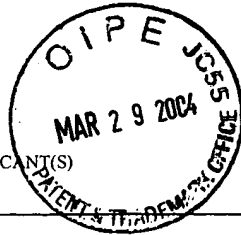
  

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)ATTY DOCKET NO.  
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**09/996,986**

APPLICANT

**Toshihisa Saruta**

FILING DATE

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GROUP

**2861**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,565,198	5/20/2003	Saruta et al.			
		6,631,967	10/14/2003	Saruta			
		09/432,272	11/2/1999	Saruta			
		10/465,650	6/20/2003	Saruta			
		2002/0085051	7/4/2002	Saruta			
		2002/0180851	12/5/2002	Saruta			
		2002/0191038	12/19/2002	Tsuji			
		2002/0196302	12/26/2002	Saruta			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		GB2350220 A	11/22/2000	Great Britain			Eng. Orig.
		EP1066967 A2	1/10/2001	Europe			Eng. Orig.
		EP1080911 A2	3/7/2001	Europe			Eng. Orig.
		EP1080912 A2	3/7/2001	Europe			Eng. Orig.
		EP1114726 A1	7/11/2001	Europe			Eng. Orig.
		EP1136268 A1	9/26/2001	Europe			Eng. Orig.
		EP1247651 A2	10/9/2002	Europe			Eng. Orig.

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2003/0007027	1/9/2003	Saruta			
		2003/0058297	3/27/2003	Saruta			
		2003/0197751	3/27/2003	Saruta			

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